# IN TE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Brandt et al.

Date: March 30, 2004

Group Art Unit:

2173

**IBM** Corporation

Examiner:

Unassigned

Intellectual Property Law

Serial No.:

10/058,360

Dept. 917, Bldg. 006-1

Filed:

January 28, 2002

3605 Highway 52 North

Title: METHOD AND APPARATUS FOR

DISPLAYING HELP WINDOW

Rochester, MN 55901

SIMULTANEOUSLY WITH WEB PAGE

RECEIVED

PERTAINING THERETO

APR 0 5 2004

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Technology Center 2100

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,

March 30, 2004

(Date of Deposit)

Lisa M. Plank

Dear Sir:

#### INFORMATION DISCLOSURE STATEMENT

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The references listed herein were cited by a foreign patent office. If any references listed herein are in a language other than English, said foreign search report is submitted in satisfaction of the requirements of 37 C.F.R. 1.98(a)(3). Unless a translation accompanies this Statement, no written English-language translation of any non-English language document, or portion thereof, listed herein, is within the possession custody or control of, or is readily available to any individual designated in 37 C.F.R. 1.56(c).

This Information Disclosure Statement is being filed under 37 C.F.R. 1.97© before a final action or notice of allowance. No filing fee is required because each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement (certification provided below).

Respectfully submitted,

Grant A. Johnson

Attorney Reg. No. 42,696

Telephone: 1-507-253-4660

Fax: 1-507-253-2382

#### **CERTIFICATION**

I hereby certify that each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

Grant A. Johnson

March 30, 2004

Date

Serial No. 10/058,360

Docket No. ROC919960172US2

PTO/SB/08A

INFORMATION DISCL

STATEMENT BY APPLI

Sheet 1 of 2

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Filing Date:

January 28, 2002

10/058,360

First Named Inventor:

**Brandt** 

Art Unit:

2173

Examiner Name:

**Unknown** 

Attorney Docket Number.:

ROC919960172US2

# **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 5493658	02-20-1996	Chiang et al.	
		US - 5122972	06-16-1992	Richards et al.	
	,	US -			
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		US -	<u> </u>		RECEIVED
·		US -			NOW 0 5 2004
		US -			APR 0 5 2004
		US -		Te	chnology Center 2100
		US -			J. 1.0.0 37 . 30 . 10 . 10 .
		US -			
		US -		·	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.1	Foreign Patent Document  Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>
		EP - 0185845 - A2	02-07-1986	Berry et al.		
		EP - 0190419 - A2	08-13-1986	Johnson et al.		ļ
		EP - 0726513 - A2	08-14-1996	Frean		
		WO - 97/35253	09-25-1997	Habib et al.		
	<u> </u>					

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Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

PTO/SB/08A

**Examiner Signature** 

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Sheet 2 of 2		

Application	Number.:
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Filing Date:

10/058,360 January 28, 2002

First Named Inventor:

Brandt 2173

Art Unit: Examiner Name:

Unknown

Attorney Docket Number.:

ROC919960172US2

### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

	1 -	TIERTRIOR ART - NON FATENT LITERATURE DOCUMENTS	_
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published.	T <sup>2</sup>
		NICOL D ET AL: "Footsteps: Trail-blazing the Web", Computer Networks and ISDN Systems, North Holland Publishing, Amsterdam, NL, Vol. 27, Nr. 6, page(s) 879-885 XP004013190, ISSN: 0169-7552	
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		APR 0 5 2004	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

**Date Considered**